



PTO/SB/08A (08-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/557382	
			Filing Date	April 25, 2000	
			First Named Inventor	TROSIEN, ANDREW	
			Art Unit	3626	
Examiner Name	FRENEL, VANEL				
Sheet	1	of	10	Attorney Docket Number	018563-003600US

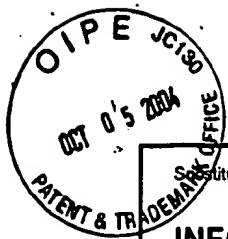
U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
V.F.	AA	2,467,432	04-19-1949	Kesling	
	AB	3,660,900	05-09-1972	Andrews	
	AC	3,860,803	01-14-1975	Levine	
	AD	3,916,526	11-04-1975	Schudy	
	AE	3,950,851	04-20-1976	Bergersen	
	AF	4,014,096	03-29-1977	Dellinger	
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	AH	4,324,546	04-13-1982	Heitlinger et al.	
	AI	4,348,178	09-07-1982	Kurz	
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	AK	4,504,225	03-12-1985	Yoshii	
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	AM	4,575,805	03-11-1986	Moermann, et al.	
	AN	4,611,288	09-09-1986	Duret et al.	
	AO	4,656,860	04-14-1987	Orthuber et al.	
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	AT	4,793,803	12-27-1988	Martz	
	AU	4,798,534	01-17-1989	Breads	
	AV	4,837,732	06-06-1989	Brandestini et al.	
	AW	4,850,864	07-25-1989	Diamond	
	AX	4,856,991	08-15-1989	Breads et al.	
	AY	4,936,862	06-26-1990	Walker et al.	
	AZ	4,937,928	07-03-1990	van der Zel	
	BA	4,964,770	10-23-1990	Steinbichler et al.	
	BB	4,975,052	12-04-1990	Spencer et al.	
	BC	5,011,405	04-30-1991	Lemchen	
	BD	5,017,133	05-21-1991	Miura	
	BE	5,027,281	06-25-1991	Rekow et al.	
	BF	5,035,613	07-30-1991	Breads et al.	
	BG	5,055,039	10-08-1991	Abbatte et al.	
	BH	5,059,118	10-22-1991	Breads et al.	
	BI	5,100,316	03-31-1992	Wildman	
	BJ	5,121,333	06-09-1992	Riley et al.	
	BK	5,128,870	07-07-1992	Erdman et al.	
	BL	5,131,843	07-21-1992	Hilgers et al.	
	BM	5,131,844	07-21-1992	Marinaccio et al.	
V.F.	BN	5,139,419	08-18-1992	Andreiko et al.	
	BO	5,184,306	02-02-1993	Erdman et al.	

Examiner Signature	Vanel Frenel	Date Considered	7/06/05
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Substitute for form 1449A/PTO		Complete if Known			
		Application Number	09/557382		
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		First Named Inventor	TROSIEN, ANDREW		
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		Examiner Name	FRENEL, VANEL		
Sheet	2	of	10	Attorney Docket Number	018563-003600US

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		Number	Kind Code ² (if known)			
V.F.	BP	5,186,623		02-16-1993	Breads et al.	
	BQ	5,257,203		10-26-1993	Riley et al.	
	BR	5,273,429		12-28-1993	Rekow et al.	
	BS	5,278,756		01-11-1994	Lemchen et al.	
	BT	5,338,198		08-16-1994	Wu et al.	
	BU	5,340,309		08-23-1994	Robertson	
	BV	5,342,202		08-23-1994	Deshayes	
	BW	5,368,478		11-29-1994	Andreiko et al.	
	BX	5,382,164		01-17-1995	Stern	
	BY	5,395,238		03-07-1995	Andreiko et al.	
	BZ	5,431,562		11-11-1995	Andreiko et al.	
	CA	5,440,496		08-08-1995	Andersson et al.	
	CB	5,447,432		09-05-1995	Andersson et al.	
	CC	5,452,219		09-19-1995	Dehoff et al.	
	CD	5,454,717		10-03-1995	Andreiko et al.	
	CE	5,456,600		10-10-1995	Andreiko et al.	
	CF	5,474,448		12-12-1995	Andreiko et al.	
	CG	5,518,397		05-21-1996	Andreiko et al.	
	CH	5,533,895		07-09-1996	Andreiko et al.	
	CI	5,542,842		08-06-1996	Andreiko et al.	
	CJ	5,549,476		08-27-1996	Stern	
	CK	5,587,912		12-24-1996	Andersson et al.	
	CL	5,605,459		02-25-1997	Kuroda et al.	
	CM	5,607,305		03-04-1997	Andersson et al.	
	CN	5,645,421		07-08-1997	Slootsky	
	CO	5,655,653		08-12-1997	Chester	
	CP	5,683,243		11-04-1997	Andreiko et al.	
	CQ	5,733,126		03-31-1998	Andersson et al.	
V.F.	CR	5,740,267		04-14-1998	Echerer et al.	
	CS	5,975,893		11-02-1999	Chishtu et al.	

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
V.F.	CT	EP	091,876	A1	10-19-1983	Duret		<input type="checkbox"/>
	CU	EP	299,490	A2	01-18-1989	Steinbichler et al.		<input type="checkbox"/>
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↓	CW	EP	490,848	B1	06-17-1992	Andersson et al.		<input type="checkbox"/>
V.F.	CX	EP	541,500	A1	06-12-1998	Andersson et al.		<input type="checkbox"/>

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V.F. ↓ V.F.	CY	EP	731,673	B1	09-18-1998	Andersson et al.		<input type="checkbox"/>
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	DB	FR	2,369,828	A1	06-02-1978	Suyehiro		<input type="checkbox"/>
	DC	WO	90/08,512	A1	08-09-1990	Lemcren		<input type="checkbox"/>
	DD	WO	91/04,713	A1	04-18-1991	Jourda et al.		<input type="checkbox"/>
	DE	WO	94/10,935	A1	05-26-1994	Andreiko et al.		<input type="checkbox"/>
	DF	WO	98/44,865	A1	10-15-1998	Andersson		<input type="checkbox"/>
	DG	WO	98/58,596	A1	12-30-1998	Chishti et al.		<input type="checkbox"/>

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NON PATENT LITERATURE DOCUMENTS			
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V.F	DH	ALEXANDER et al., "The DigiGraph Work Station Part 2, Clinical Management," JCO (July 1990), pp. 402-407.	
	DI	ALTSCHULER et al., "Laser Electro-Optic System for Rapid Three-Dimensional (3D) Topographic Mapping of Surfaces," <i>Optical Engineering</i> , Vol. 20(6) (1981), pp. 953-961.	
	DJ	ALTSCHULER et al., "Measuring Surfaces Space-Coded by a Laser-Projected Dot Matrix," <i>SPIE Imaging Applications for Automated Industrial Inspection and Assembly</i> , vol. 182 (1979), p. 187-191.	
	DK	ALTSCHULER et al., "Analysis of 3-D Data for Comparative 3-D Serial Growth Pattern Studies of Oral-Facial Structures," Program and Abstracts of Papers, Feb. 1975, <i>Journal of Dental Research</i> , Vol. 54, IADR Abstracts 1979, 2 pages total.	
	DL	ALTSCHULER, "3D Mapping of Maxillo-Facial Prosthesis," AADR Abstract #607, 1980, 2 pages total..	
	DM	American Association for Dental Research, Summary of Activities, March 20-23, 1980, Los Angeles, CA, p. 195.	
	DN	ANDERSSON et al., "Clinical Results with Titanium Crowns Fabricated with Machine Duplication and Spark Erosion," <i>Acta Odontologica Scandinavica</i> , vol. 47 (1989), pp. 279-286.	
	DO	BAUMRIND et al., "A stereophotogrammetric system for the detection of prosthesis loosening in total hip arthroplasty, Applications of Human Biostereometrics (NATO)," Proceedings of the Society of Photo-Optical Instrumentation Engineers, Vol. 166, July 9-13, 1978, pp. 112-123.	
	DP	BAUMRIND et al., "Mapping the Skull in 3-D, Reprinted from The Journal, <i>California Dental Association</i> , Vol. 48, No. 2 (1972 Fall Issue) 11 pages total.	
	DQ	BAUMRIND et al., "Seminars in Orthodontics," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), p. 222.	
	DR	BAUMRIND, "A System for Craniofacial Mapping Through the Integration of Data from Stereo X-Ray Films and Stereo Photographs," An invited paper submitted to the 1975 American Society of Photogram. Symposium on Close-Range Photogram. Systems, University of Ill., Aug. 26-30, 1975, pp. 1-25.	
	DS	BAUMRIND, "Integrated Three-Dimensional Craniofacial Mapping: Background, Principles, and Perspectives," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), pp. 223-232.	
	DT	BEGOLE et al., "A Computer System for the Analysis of Dental Casts," <i>The Angle Orthodontist</i> , Vol. 51 No. 3 (July 1981), pp. 253-259.	
	DU	BERNARD et al., "Computerized diagnosis in Orthodontics for Epidemiological Studies" (progress report), Abstracts of Papers, <i>Journal of Dental Research</i> ; Vol. 71, Special Issue March 1-14, 1992, pp. 28-36.	
	DV	BHATIA et al., "A Computer-Aided Design for Orthognathic Surgery," <i>British Journal of Oral and Maxillofacial Surgery</i> , Vol. 22 (1984), pp. 237-253.	
V.F	DW	BIGGERSTAFF et al., "Computerized analysis of occlusion in the postcanine dentition," <i>American Journal of Orthodontics</i> , Vol. 61, No. 3 (Mar. 1972), pp. 245-254.	

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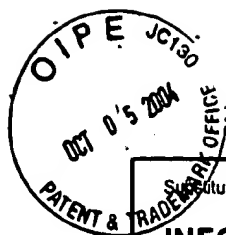
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VF	DX	BIGGERSTAFF, "Computerized Diagnostic Setups and Simulations," <i>The Angle Orthodontist</i> , Vol. 40, No. 1 (Jan. 1970), pp. 28-36.	
	DY	BRANDESTINI et al., "Computer Machined Ceramic Inlays: In Vitro Marginal Adaptation," <i>Journal of Dental Research</i> , Vol. 64/Special Issue/Abstracts, IADR/AADR Abstracts 1985, 1 page total.	
	DZ	BROOK et al., "An Image Analysis System for the Determination of Tooth Dimensions from Study Casts: Comparison with Manual Measurements of Mesio-distal Diameter, Abstract of Papers, 1985, Dept. of Children's Dentistry and Orthodontics, <i>J Dent Res.</i> , March 1986, pp. 428-431.	
	EA	BURSTONE (interview), "Dr. Charles J. Burstone on The Uses of the Computer in Orthodontic Practice (Parts 1 and 2)," <i>Journal of Clinical Orthodontics</i> , (Part 1) Vol. 8, No. 7, July 1979; (Part 2) Vol. 8, No. 8 pp. 539-551, Aug. 1979.	
	EB	BURSTONE et al., "Precision adjustment of the transpalatal lingual arch: Computer arch form predetermination," <i>Am. Journal of Orthodontics</i> , Vol. 79, No. 2 (Feb. 1981), pp. 115-133.	
	EC	CHACONAS et al., "The DigiGraph Work Station, Part 1, Basic Concepts," <i>JCO</i> (June 1990), pp. 360-367.	
	ED	CHAFETZ et al., "Subsidence of the Femoral Prosthesis, A Stereophotogrammetric Evaluation," <i>Clinical Orthopaedics and Related Research</i> , No. 201 (December 1985), pp. 60-67.	
	EE	CHIAPPONE, "Constructing the gnathologic setup and positioner" <i>J. Clin. Orthod.</i> , 14:121-133, 1980.	
	EF	COTTINGHAM, "Gnathologic clear plastic positioner" <i>Am. J. Orthod.</i> , 55:23-31, 1969.	
	EG	CRAWFORD, "Computers in Dentistry: Part 1: CAD/CAM: The Computer Moves Chairside," "Part 2: F. Duret — A Man With A Vision," "Part 3: The Computer Gives New Vision- Literally," "Part 4: Bytes 'N Bites' The Computer Moves From The Front Desk To The Operatory, <i>Canadian Dental Journal</i> , vol. 54(9), (1988), pp. 661-666.	
	EH	CRAWFORD, "CAD/CAM in the Dental Office: Does It Work?" <i>Canadian Dental Journal</i> , Vol. 57, No. 2 (Feb. 1991), pp. 121-123.	
	EI	CROOKS, "CAD/CAM Comes to USC," <i>USC Dentistry</i> , (Spring 1990) pp. 14-17.	
	EJ	CURETON, "Correcting malaligned mandibular incisors with removable retainers" <i>J. Clin. Orthod.</i> , 30:390-395, 1996.	
	EK	CURRY et al., "Integrated Three-Dimensional Craniofacial Mapping at the Craniofacial Research Instrumentation Laboratory/University of the Pacific," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), pp. 258-265.	
	EL	CUTTING et al., "Three-Dimensional Computer-Assisted Design of Craniofacial Surgical Procedures: Optimization and Interaction with Cephalometric and CT-Based Models," <i>Plastic and Reconstructive Surgery</i> , Vol. 77, No. 6 (June 1986), pp. 877-885.	
VF	EM	DCS Dental AG, "The CAD/CAM 'DCS Titan System' for Production of Crowns/Bridges" <i>DSC Production AG</i> , Jan. 1992, pp. 1-7.	

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✓F	EN	DEFRANCO et al., "Three-Dimensional Large Displacement Analysis of Orthodontic Appliances," <i>J. Biomechanics</i> , Vol. 9 (1976), pp. 793-801.	
	EO	Dental Institute University of Zurich Switzerland, Program for International Symposium on Computer Restorations: State of the Art of the CEREC-Method, May 1991, 3 pages total.	
	EP	DenTrac Corporation, Dentrac document, pp. 4-13.	
	EQ	DURET et al., "CAD-CAM in Dentistry," <i>Journal of the American Dental Association</i> , Vol. 117 (Nov. 1988), pp. 715-720.	
	ER	DURET et al., "CAD/CAM imaging in dentistry," <i>Current Opinion in Dentistry</i> , Vol. 1 (1991), pp. 150-154.	
	ES	DURET, "The Dental CAD/CAM, General Description of the Project," <i>Hennson International Product Brochure</i> , Jan. 1986., 18 pages total.	
	ET	DURET, "Vers une prothese informatisee," (English translation also attached), <i>Tonus</i> , vol. 75, (Nov. 15, 1985), pp. 55-57.	
	EU	ECONOMIDES, "The Microcomputer in the Orthodontic Office," <i>JCO</i> , (Nov. 1979), pp. 767-772.	
	EV	ELSASSER, "Some observations on the history and uses of the Kesling positioner" <i>Am. J. Orthod.</i> , 36:368-374, 1950.	
	EW	FABER et al., "Computerized interactive orthodontic treatment planning," <i>Am. J. Orthod.</i> , Vol. 73, No. 1 (Jan. 1978), pp. 36-46.	
	EX	FELTON et al., "A computerized analysis of the shape and stability of mandibular arch form," <i>Am. Journal of Orthodontics and Dentofacial Orthopedics</i> , Vol. 92, No. 6 (Dec. 1987), pp. 478-483.	
	EY	FRIEDE et al., "Accuracy of Cephalometric Prediction in Orthognathic Surgery," <i>Abstract of Papers, Journal of Dental Research</i> , Vol. 70 (1987), pp. 754-760.	
	EZ	GIM-ALLDENT Deutschland, "Das DUX System: Die Technik" 4 pages total.	
	FA	GRAYSON, "New Methods for Three Dimensional Analysis of Craniofacial Deformity," Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery, AAOMS Sept. 13, 1990, 3 pages total.	
✓	FB	GUESS et al., "Computer Treatment Estimates in Orthodontics and Orthognathic Surgery," <i>JCO</i> , (April, 1989), pp. 262-28.	
✓F	FC	HEAVEN et al., "Computer-based Image Analysis of Artificial Root Surface Caries," "Automated Identification of Landmarks in Cephalometric Radiographs," <i>Abstracts of Papers, Journal of Dental Research</i> , Vol. 67, March 9-13, 1988, 2 pages total.	

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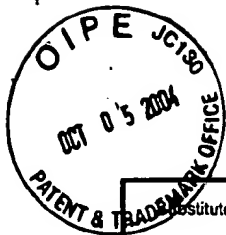
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V.F	FD	HOFFMANN et al., "Role of Cephalometry for Planning of Jaw Orthopedics and Jaw Surgery Procedures," (Article Summary in English, article in German), <i>Informationen</i> , (March 1991), pp. 375-396.	
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	FF	Inside the ADA, <i>Journal Of The American Dental Assoc.</i> , Vol. 118 (March 1989) 9 pages total.	
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PTO/SB/08B (08-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known			
		Application Number	09/557382		
		Filing Date	April 25, 2000		
		First Named Inventor	TROSIEN, ANDREW		
		Art Unit	3626		
		Examiner Name	FRENEL, VANEL		
Sheet	8	of	10	Attorney Docket Number	018563-003600US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
V.F	FT	MCNAMARA et al., <i>Orthodontic and Orthopedic Treatment in the Mixed Dentition</i> , Needham Press, January 1993. pp.347-353.	
	FU	MCNAMARA et al., "Invisible Retainers", <i>J. Clinical Orthodontics</i> , (August 1985) pp. 570-578.	
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	GG	REKOW, "CAD/CAM in Dentistry: A Historical Perspective and View of the Future," <i>Journal</i> , Vol. 58 No. 4, (April 1992), pp. 283, 287-288.	
✓	GH	REKOW, "Computer-Aided Design and Manufacturing in Dentistry: A Review of the State of the Art," <i>The Journal of Prosthetic Dentistry</i> , Vol. 58, No. 4 (Oct. 1987), pp. 512-516.	
V.F	GI	REKOW, "Dental CAD-CAM Systems: What is the State of the Art?" <i>Journal of the American Dental Assoc.</i> , Vol. 122 (1991), pp. 43-48.	

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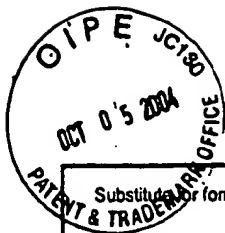
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			Art Unit	3626	
			Examiner Name	FRENEL, VANEL	
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V.F	GJ	REKOW, "Feasibility of an Automated System for Production of "Dental Restorations," PhD Thesis, Univ. of Minnesota, Nov. 1988, 244 pages total.		
	GK	RICHMOND et al., Research Reports, "The development of a 3D Cast Analysis System," <i>British Journal of Orthodontics</i> , pp. 53-54.		
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	GQ	SHILLIDAY, "Minimizing finishing problems with the mini-positioner" <i>Am. J. Orthod.</i> 59:596-599, 1971		
	GR	Siemens, "CEREC - Computer-Reconstruction, "High Tech in der Zahnmedizin, 14 page total.		
	GS	Sirona Dental Systems GmbH, CEREC 3D, Manuel utilisateur, Version 2.0X (in French), 2003, 114 pages total.		
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	GU	U.S. Department of Commerce, National Technical Information Service, "Automated Crown Replication Using Solid Photography SM," Solid Photography Inc. Melville NY, October 1977, 21 pages total.		
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V	GX	VAN DER LINDEN et al., Three-Dimensional Analysis of Dental Casts by Means of the Optocom," <i>J Dent Res</i> , July-August 1972, p. 1101.		
V.F	GY	VAN DER LINDEN, "A New Method to Determine Tooth Positions and Dental Arch Dimensions," July-August 1972, p. 1104.		

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PTO/SB/08B (08-03)

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
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		First Named Inventor	TROSIEN, ANDREW		
		Art Unit	3626		
		Examiner Name	FRENEL, VANEL		
Sheet	10	of	10	Attorney Docket Number	018563-003600US

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V.F	GZ	VAN DER ZEL, "Ceramic-fused-to-metal Restorations with a New CAD/CAM System," <i>Quintessence International</i> , vol. 24(11) (1993), pp. 769-778.	
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	HE	WILLIAMS, "Dentistry and CAD/CAM: Another French Revolution," <i>Journal of Dental Practice Admin.</i> , Jan./March 1987, pp. 2-5.	
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	HG	WISHAN, "New Advances in Personal Computer Applications for Cephalometric Analysis, Growth Prediction, Surgical Treatment Planning and Imaging Processing," <i>Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery</i> , AAOMS, Sept. 13, 1990, p. 5.	
	HH	YAMAMOTO et al., "Three-Dimensional Measurement of Dental Cast Profiles and Its Applications to Orthodontics," <i>Annual Int'l Conf. of IEEE Engineering in Medicine and Biology Society</i> , Vol. 12, No. 5, pp. 2051-2053, 1990	
V.F	HI	YAMAMOTO et al., "Optical measurement of dental cast profile and application to analysis of three-dimensional tooth movement in orthodontics," <i>Frontiers in Med. and Biol. Eng'g</i> , Vol. 1, No. 2 (1988), pp. 119-130.	

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